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**REGISTERED PATENT AGENTS

September 22, 2000

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

PGARRETT@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
Appl. No. *To Be Assigned*; Filed: *Herewith*
For: **Method, System, and Computer Program Product for Interfacing
with Information Sources**
Inventors: Baggett *et al.*
Our Ref: 1956.0010000/PEG

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17);
2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
3. U.S. Utility Patent Application entitled:

**Method, System, and Computer Program Product for Interfacing with Information
Sources**

and naming as inventors:

David M. BAGGETT
Gregory R. GALPERIN

Commissioner for Patents

September 22, 2000

Page 2

the application consisting of:

- a. A specification containing:
 - i. 65 pages of description prior to the claims;
 - ii. 16 pages of claims (64 claims);
 - iii. a one (1) page abstract; and
 - b. 22 sheets of drawings: (Figures 1-3, 4A-4D, 5-11, 12A-12C, 13A-13C, and 14-20);
4. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
 5. Two (2) return postcards; and
 6. Our check No. 28868 for \$1522.00 to cover:

\$ 690.00 Filing fee for patent application; and
\$ 792.00 Fee for excess claims.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Linda E. Alcam

Reg. No. 39,588

for Patrick E. Garrett
Attorney for Applicants
Registration No. 39,987

PEG:mlb

Enclosures

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SKGF Rev. 8/1/00 mac

Please type a sign (+) inside this box → ☐

PTO/SB/05 (08-00)

App. for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1956.001000/PEG
First Inventor	Baggett et al.
Title	Method, System, and Computer Program Product for Interfacing with Information Sources
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 82]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 22]

5. ☒ Oath or Declaration [Total Pages]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR §§
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations

13. ☐ Preliminary Amendment

14. ☒ Two (2) Return Receipt Postcards (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Authorization under 37 C.F.R. § 1.136(a)(3)

☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)

Prior application information: Examiner _____

of prior application No: _____ / _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.				
	Attorneys at Law				
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NAME (Print/Type)	Patrick E. Garrett	Registration No. (Attorney/Agent)	39,987
SIGNATURE	<u>Patrick E. Garrett</u>	Reg. No. <u>39,588</u>	Date <u>9/22/00</u>

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

SKGF Rev 9/19/00 mac 0010000.nonprovisional.transmittal

FEE TRANSMITTAL for FY 2000		Complete if Known	
		Application Number	To Be Assigned
Patent fees are subject to annual revision.		Filing Date	Herewith
		First Named Inventor	Baggett et al.
		Examiner Name	To Be Assigned
		Group Art Unit	To Be Assigned
		Attorney Docket No.	1956.0010000/PEG
TOTAL AMOUNT OF PAYMENT	(\$1482.00)		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number: 19-0036</p> <p>Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>	<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large</th><th>Entity</th><th>Small</th><th>Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td></td><td></td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td><td></td><td></td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td><td></td><td></td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td><td></td><td></td><td></td><td>For filing a request for <i>es parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td><td></td><td></td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td><td></td><td></td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>380</td><td>216</td><td>190</td><td></td><td></td><td></td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>870</td><td>217</td><td>435</td><td></td><td></td><td></td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td></td><td></td><td></td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td></td><td></td><td></td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>300</td><td>219</td><td>150</td><td></td><td></td><td></td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300</td><td>220</td><td>150</td><td></td><td></td><td></td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>260</td><td>221</td><td>130</td><td></td><td></td><td></td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td><td></td><td></td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td><td></td><td></td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td></td><td></td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td></td><td></td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243</td><td>215</td><td></td><td></td><td></td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244</td><td>290</td><td></td><td></td><td></td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td></td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td></td><td></td><td></td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td></td><td></td><td></td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>481</td><td>40</td><td></td><td></td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>690</td><td>246</td><td>345</td><td></td><td></td><td></td><td></td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149</td><td>690</td><td>249</td><td>345</td><td></td><td></td><td></td><td></td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td>179</td><td>690</td><td>279</td><td>345</td><td></td><td></td><td></td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td><td></td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr></tbody></table>	Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid	105	130	205	65					Surcharge - late filing fee or oath		127	50	227	25					Surcharge - late provisional filing fee or cover sheet		139	130	139	130					Non-English specification		147	2,520	147	2,520					For filing a request for <i>es parte</i> reexamination		112	920*	112	920*					Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*					Requesting publication of SIR after Examiner action		115	110	215	55					Extension for reply within first month		116	380	216	190					Extension for reply within second month		117	870	217	435					Extension for reply within third month		118	1,360	218	680					Extension for reply within fourth month		128	1,850	228	925					Extension for reply within fifth month		119	300	219	150					Notice of Appeal		120	300	220	150					Filing a brief in support of an appeal		121	260	221	130					Request for oral hearing		138	1,510	138	1,510					Petition to institute a public use proceeding		140	110	240	55					Petition to revive - unavoidable		141	1,210	241	605					Petition to revive - unintentional		142	1,210	242	605					Utility issue fee (or reissue)		143	430	243	215					Design issue fee		144	580	244	290					Plant issue fee		122	130	122	130					Petitions to the Commissioner		123	50	123	50					Petitions related to provisional applications		126	240	126	240					Submission of Information Disclosure Stmt		581	40	481	40					Recording each patent assignment per property (times number of properties)		146	690	246	345					Filing a submission after final rejection (37 CFR 1.129(a))		149	690	249	345					For each additional invention to be examined (37 CFR 1.129(b))		179	690	279	345					Request for Continued Examination (RCE)		169	900	169	900					Request for expedited examination of a design application	
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<p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*</p> <p>* charge any deficiencies or credit any overpayments in the fees or fee calculations of parts 1, 2, and 3 below to deposit account 19-0036</p>																																																																																																																																																																																																																																																																																																			
<p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>690</td><td>201</td><td>345</td><td>Utility filing fee</td><td>690.00</td></tr><tr><td>106</td><td>310</td><td>206</td><td>155</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>480</td><td>207</td><td>240</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>690</td><td>208</td><td>334</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr></tbody></table> <p>SUBTOTAL (1) (\$) 690.00</p>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	690	201	345	Utility filing fee	690.00	106	310	206	155	Design filing fee		107	480	207	240	Plant filing fee		108	690	208	334	Reissue filing fee		114	150	214	75	Provisional filing fee																																																																																																																																																																																																																																																																
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<p>2. EXTRA CLAIM FEES</p> <table border="1"><thead><tr><th>Extra</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims 64 - 20** = 44</td><td>X 18</td><td>= 792.00</td></tr><tr><td>Indep. Claims 3 - 3** = 0</td><td>X 78</td><td>= 0</td></tr><tr><td>Multiple Dependent</td><td>0</td><td>= 0</td></tr></tbody></table> <p>** or number previously paid, if greater; For Reissues, see below</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr><tr><td>102</td><td>78</td><td>202</td><td>39</td><td>Independent claims in excess of 3</td></tr><tr><td>104</td><td>260</td><td>204</td><td>130</td><td>Multiple dependent claim</td></tr><tr><td>108</td><td>78</td><td>209</td><td>39</td><td>**Reissue independent claims over original patent</td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr></tbody></table> <p>SUBTOTAL (2) (\$) 792.00</p>	Extra	Fee from below	Fee Paid	Total Claims 64 - 20** = 44	X 18	= 792.00	Indep. Claims 3 - 3** = 0	X 78	= 0	Multiple Dependent	0	= 0	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	78	202	39	Independent claims in excess of 3	104	260	204	130	Multiple dependent claim	108	78	209	39	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																									
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SUBMITTED BY		Complete (if applicable)	
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Signature	Linda E. Alcom, Reg. No. 37,588	Telephone	202-371-2600
		Date	9/22/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Baggett *et al.*

Appl. No. *To Be Assigned*

Filed: *Herewith*

For: **Method, System, and Computer
Program Product for Interfacing
with Information Sources**

Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

Atty. Docket: 1956.0010000/PEG



**Authorization To Treat A Reply As Incorporating An Extension Of Time
Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

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